

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Technology Center 2600

In re application of

Yoshiyasu KURAMOTO et al.

Attn: **BOX PCT**

Serial No. NEW

Docket No. 2000\_0917A

Filed June 28, 2000

RECORDING/REPRODUCING APPARATUS  
AND METHOD

[Corresponding to PCT/JP99/05953  
Filed October 28, 1999]

COPY

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents,  
Washington, DC 20231

Sir:

Pursuant to the provisions of 37 CFR 1.56, 1.97 and 1.98, applicant requests consideration of ☒ the references listed on attached form PTO-1449 and/or ☐ the additional information identified below in paragraph 3. A legible copy of each reference listed on form PTO-1449 is enclosed, except a copy is not provided for each reference previously cited by or submitted to the Patent Office in prior parent application Serial No. \_\_\_\_\_.

1a. ☒ This Information Disclosure Statement is submitted:

within three months of the filing date (or of entry into the National Stage) of the above-entitled application, **or**

before the mailing date of the first Office Action on the merits,

**and thus no certification and/or fee is required.**

ATTACHMENT D

FORM PTO 1449 (modified)

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY DOCKET NO.  
2000\_0917ASERIAL NO.  
NEW

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APPLICANT  
Yoshiyasu KURAMOTO et al.FILING DATE  
June 28, 2000

GROUP

Technology Center 2600

Date Submitted to PTO: June 28, 2000

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO	
	AJ	8-125966	5/17/96	JP			Abstract	
	AK	6-292123	10/18/94	JP				
	AL							
	AM							
	AN							

## OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

	AO	
	AP	
	AQ	

EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1b. ☐ This Information Disclosure Statement is submitted

after the events of above paragraph 1a and prior to the mailing date of a final Office Action or Notice of Allowance, and thus:

(1) ☐ the certification of paragraph 2 below is provided, or

(2) ☐ a fee of \$\_\_\_ is enclosed.

1c. ☐ This Information Disclosure Statement is submitted:

after the mailing date of a final Office Action or Notice of Allowance and prior to payment of the issue fee, and thus:

**the certification of paragraph 2 below is provided, and**

**a Petition is set forth below in paragraph 5, and a petition fee of \$\_\_\_ is enclosed.**

2. It is hereby certified

a. ☐ that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Statement, or

b. ☐ that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the Statement.

3. ☐ Consideration of the following additional information (including any copending or abandoned U.S. application, prior uses and/or sales, etc.) is requested.

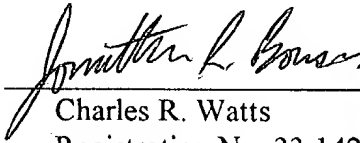
4. For each non-English language reference listed on the attached form PTO-1449, reference is made to:

a. ☐ an English language translation submitted herewith,

- b. ☒ a foreign patent office search report (in the English language) submitted herewith,
  - c. ☐ an English language translation of a foreign patent office search report submitted herewith,
  - d. ☒ the concise explanation contained in the specification of the present application at page 3,
  - e. ☒ the concise explanation set forth in the attached English language abstract,
  - f. ☐ the concise explanation set forth below:
5. ☐ Pursuant to 37 CFR 1.97(d), the Commissioner is hereby petitioned to consider the present Information Disclosure Statement. The required petition fee of \$130.00 set forth in 37 CFR 1.17(i)(1) is enclosed.

Respectfully submitted,

Yoshiyasu KURAMOTO et al.

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